

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John F. Malitzis Art Unit : 3693
Serial No. : 10/040,912 Examiner : Sara M. Chandler
Filed : January 7, 2002 Conf. No. : 3822
Title : AUTOMATED MARKET SYSTEM WITH SELECTABLE MATCH-OFF OF
ORDER FLOW

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. Please charge the \$180 late submission fee of §1.17(p) to Deposit Account No. 06-1050.

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Respectfully submitted,

Date: _____

3/20/07

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 09857-086001	Application No. 10/040,912
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant John F. Malitzis	
		Filing Date January 7, 2002	Group Art Unit 3693

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	US-2002-0161687-A1		Peter Martyn et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
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Foreign Patent Documents or Published Foreign Patent Applications								
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							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
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	AQ	
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Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	